



Internal audit progress report

Outcome requested:	Audit and Risk Committee is asked to note the progress delivering the 2024/25 audit plan.
Executive Summary:	<ol style="list-style-type: none"> 1) Terms of reference have been agreed for the reviews of Student Union and Estates Projects – Contractor Management. The fieldwork for these reviews will be conducted during November, with reports issued during December. These will be reported to the March meeting of the Audit and Risk Committee. 2) Scoping is in progress for the reviews of space management, cloud based working and trusted research. The scoping for Widening Access and Participation Plan will be undertaken later in November and for student wellbeing it will be undertaken in February. 3) No reports have been completed for this meeting of the Audit and Risk Committee. 4) No reports have been completed for this meeting of the Audit and Risk Committee therefore no significant findings to highlight. 5) No other matters for escalation for this meeting.
QMUL Strategy: strategic aim reference and sub-strategies [e.g., SA1.1]	Internal audit supports all areas of the strategy.
Internal/External regulatory/statutory reference points:	The CUC Higher Education Code of Governance OfS regulatory framework
Strategic Risks:	All
Equality Impact Assessment:	N/A
Subject to prior and onward consideration by:	SET
Confidential paper under FOIA/DPA	No
Timing:	Regular report to the Committee
Author:	Amy Taylor and Neil Thomas, KPMG
Date:	01 November 2024

Senior Management/External Sponsor	Jonathan Morgan, Chief Governance Officer and University Secretary
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Internal Audit Progress report

Queen Mary University of London

KPMG Internal Audit and Enterprise Risk Management

November 2024

Executive Summary

The purpose of this document is to provide the Audit and Risk Committee with an update on the internal audit plan for 2024/24. We have summarised below the key points to draw your attention in the period since we last reported to you:

Activity	Comments
Progress against the plan	<ul style="list-style-type: none"> Terms of reference have been agreed for the reviews of Student Union and Estates Projects – Contractor Management. The fieldwork for these reviews will be conducted during November, with reports issued during December. These will be reported to the March meeting of the Audit and Risk Committee. Scoping is in progress for the reviews of space management, cloud based working and trusted research. The scoping for Widening Access and Participation Plan will be undertaken later in November and for student wellbeing it will be undertaken in February.
Reports completed	<ul style="list-style-type: none"> No reports have been completed for this meeting of the Audit and Risk Committee.
Significant findings to highlight	<ul style="list-style-type: none"> No reports have been completed for this meeting of the Audit and Risk Committee therefore no significant findings to highlight.
Other matters	<ul style="list-style-type: none"> No other matters for escalation for this meeting.



For information:

- This progress report

Progress of plan

Below is the full status of the 2024/25 internal audit plan as approved by the Audit and Risk Committee.

Internal audit	Status				Results				
	Planning	Fieldwork	Draft Report	Final Report	Overall Rating	High	Medium	Low	Total
01/24: Student Union	✓	Due: w/c 4 Nov	Due: w/c 2 Dec	Due: w/c 16 Dec	TBC				
02/24: Student wellbeing	Due: Feb 25	Due: May 25	Due: June 25	Due: June 25	TBC				
03/24: Estates projects – contractor management	✓	✓	Due: w/c 15 Nov	Due: w/c 9 Dec	TBC				
04/24: Space management	In progress	Due: Feb 25	Due: March 25	Due March 25	TBC				
05/24: Widening Access and Participation Plan	Due: Nov 24	Due: Feb 25	Due: March 25	Due March 25	TBC				
06/24: Cloud based working	In progress	Due: April 25	Due: May 25	Due June 25	TBC				
07/24: Trusted Research	In progress	Due: May 25	Due: June 25	Due July 25	TBC				
					Total				



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